

Docket No. 289020US0PCT



MAIL STOP PCT

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Jong Ho KWON, et al.

SERIAL NO: 10/574,797

GAU:

FILED: April 6, 2006

EXAMINER:

FOR: METHOD FOR PREPARING OXYTITANIUM PHTHALOCYANINE CHARTGE GENERATING MATERIAL
AND APPARATUS FOR PREPARING THE SAME

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

- The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- Attached is a list of applicant's pending application(s), published application(s) or issued patent(s) which may be related to the present application. In accordance with the waiver of 37 CFR 1.98 dated September 21, 2004, copies of the cited pending applications are not provided. Cited published and/or issued patents, if any, are listed on the attached PTO form 1449.
- A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

- Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

- Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,
MAIER & NEUSTADT, P.C.

Norman F. Oblon
Registration No. 24,618

Customer Number

22850

Tel. (703) 413-3000
Fax. (703) 413-2220
(OSMMN 05/03)

Surinder Sachar
Registration No. 34,423

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /P.W./

| Form PTO 1449 (Modified) | | U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE | | ATTY DOCKET NO. 289020US0PCT | JUL 03 2005 U.S. PATENT & TRADEMARK OFFICE | SERIAL NO. 10/574,797 |
|---|--|--|--|---------------------------------|--|----------------------------|
| LIST OF REFERENCES CITED BY APPLICANT | | APPLICANT Jong Ho KWON, et al. | | | | |
| | | FILING DATE April 6, 2006 | | GROUP | | |
| U.S. PATENT DOCUMENTS | | | | | | |
| EXAMINER INITIAL | DOCUMENT NUMBER | DATE | NAME | CLASS | SUB CLASS | FILING DATE IF APPROPRIATE |
| | AA 6 485 658 | 11/26/02 | HORIUCHI et al. | | | |
| | AB 6 225 015 | 01/05/01 | OKAJI et al. | | | |
| | AC 5 164 493 | 11/17/92 | MAYO et al. | | | |
| | AD 5 132 197 | 07/21/92 | IUCHI et al. | | | |
| | AE 5 194 354 | 03/16/93 | TAKAI et al. | | | |
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| | AT 4 971 877 | 11/20/90 | MIYAMOTO et al. | | | |
| | AU 5 786 121 | 07/28/98 | RICHTER et al. | | | |
| | AV 5 773 184 | 06/30/98 | FULLER, Jr. et al. | | | |
| | AW 6 521 387 | 02/18/03 | KAWASAKI | | | |
| | AX 5 059 355 | 10/22/91 | ONO et al. | | | |
| FOREIGN PATENT DOCUMENTS | | | | | | |
| | DOCUMENT NUMBER | DATE | COUNTRY | | TRANSLATION YES NO | |
| AY | 1994-7962 | 08/31/94 | KR (English abstract only & equivalent of US5 132 197) | | | NO |
| AZ | 62-256865 | 11/09/87 | JP (with English abstract & equivalent of US4 777 251) | | | NO |
| AAA | 2003-0058987 | 07/07/03 | KR (with English abstract & English translation) | | | NO |
| AAB | 2003-0022179 | 03/15/03 | KR (with English abstract & English translation) | | | NO |
| AAC | 2003-0022831 | 03/17/03 | KR (with English abstract & English translation) | | | NO |
| AAD | 2003-0058988 | 07/07/03 | KR (with English abstract & English translation) | | | NO |
| AAE | 2003-0086508 | 11/10/03 | KR (with English abstract & English translation) | | | NO |
| AAF | 2003-0086509 | 11/10/03 | KR (with English abstract & English translation) | | | NO |
| OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.) | | | | | | |
| AAG | YAO, Jiachang et al., "A Convenient Synthetic Method for Pure Oxo (phthalocyaninato) titanium (IV) and Application to Other Metal Phthalocyanines" Bull. Chem. Soc. Jpn., Vol. 68, No. 3, Pages 1001-1005, 1995. | | | | <input type="checkbox"/> Additional References sheet(s) attached | |
| Examiner | /Paul Ward/ (02/18/2010) | | | | Date Considered | |
| * Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. | | | | | | |

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /P.W./



U.S. PCT Application Serial No: 10/574,797

Filed: April 6, 2006

Jong Ho KWON, et al.

Docket No. 289020 US

STATEMENT OF RELEVANCY

- 1) References AA - AC & AY have been cited in the International Search Report. A copy of these references is being submitted herewith.
- 2) References have been cited in the corresponding Search Report. A copy of these references is being submitted herewith.
- 3) References AD - AX, AZ - AAA & AAG are discussed in the specification. A copy of these references is being submitted herewith.
- 4) References AAB - AAF are additional prior art known to Applicant. A copy of these references is being submitted herewith.



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|---|----------------------------|------------------------|
|  | Docket No.: 289020US0PCT | Serial No.: 10/574,797 |
| Inventor: Jong Ho KWON, et al. | | |
| LIST OF RELATED CASES CITED BY APPLICANT UNDER 37 CFR 1.56 | Filing Date: April 6, 2006 | Group: |

LIST OF RELATED CASES

| <u>Examiner Initial</u> | <u>Docket No.</u> | <u>Serial or Patent Number</u> | <u>Filing or Issue Date</u> | <u>Patent App. Publication No.</u> | <u>Inventor or Applicant</u> |
|-------------------------|-------------------|--------------------------------|-----------------------------|------------------------------------|------------------------------|
| | 281215US0PCT | 10/556,785 | 11/14/05 | | KWON, et al. |
| | 289020US0PCT* | 10/574,797 | 04/06/06 | | KWON, et al. |

/Paul Ward/ (02/18/2010)

Examiner

Date Considered

*Present Application; listed for information
NEO/kch

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH /P.W./